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Complete if Known Effective on 12/08/04 Application No. 09/652,993 Filing Date August 31, 2000 FEE TRANSMUTAL SHEET Vishnu K. Agarwal First Inventor (FY 2085) Group Art Unit 2815 **Examiner Name** Jose R. Diaz Applicant claims small entity status (see 37 C.F.R. 1.27) Atty. Docket Number 501082.04 METHOD OF PAYMENT (Check One) FEE CALCULATION (Continued) 3. ADDITIONAL FEES X The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and Large Small Fee Description credit any over payments to Deposit Account No. 50-1266; Entity Entity Fee paid Fee Fee Deposit Account Name: DORSEY & WHITNEY LLP. Surcharge - late provisional filing fee or 25 \$ 50 X Check Enclosed. cover sheet Surcharge - Late nonprovisional filing \$ **Extra Claim Fees** 65 130 fee or oath **Current Claims** Prior Fee Paid 180 180 Submission of IDS \$180 \$0 Total 20 Recording each patent assignment per \$ 0 40 \$ 40 Ind. 3 property (times number of properties) Multiple Dependent Claims 120 60 Extension for reply within first month \$ Subtotal (Extra Claims) 225 \$ 450 Extension for reply within second month Petition Fee Under 37 CFR 1.17(f), (g), & (h) \$ 1,020 510 Extension for reply within third month Enclosed is a Petition filed under 37 CFR as indicated below: \$ 1.590 795 Extension for reply within fourth month Petition Fee under 37 CFR 1.17(f) Fee \$400 \$ 2,160 1,080 Extension for reply within fifth month § 1.53(e) to accord a filing date. § 1.57(a) to accord a filing date. 790 395 \$ Submission After Final 1.129 § 1.182 for decision on a question not provided for. § 1.183 to suspend the rules. \$ 500 250 Notice of Appeal § 1.378(e) for reconsideration of decision on petition refusing delayed 500 \$ payment of maintenance fee in expired patent. 250 Filing a brief in support of an appeal § 1.174(b) to accord a filing date to an application under §1.740 for extension 1.000 500 Request for oral hearing \$ of patent term. Petition Fee under 37 CFR 1.17(g) Fee \$200 130 65 Terminal Disclaimer Fee \$ for access to an assignment record. § 1.12 Design Issue Fee \$ 800 400 § 1.14 for access to an application. § 1.47 for filing by other than all inventors or person not the inventor. Request for Continued Examination \$ 790 395 § 1.59 for expungement of information. (RCE) § 1.103(a) to suspend action in an application. Request for voluntary publication or § 1.136(b) for review of a request for ext. of time when §1.136(a) not avail. 130 \$ republication § 1.295 for review of refusal to publish a statutory invention registration. § 1.296 to withdraw a req. for pub. after notice of intent to publish issued. 500 250 Petition to Revive - unavoidable \$ § 1.377 for review of decision refusing to accept a maintenance fee filed \$ 1,500 prior to expiration of a patent. 750 Petition to Revive - unintentional § 1.550(c) for request for ext. of time in ex parte reexam, proceedings. 200 Filing for patent term adjustment \$ § 1.956 for request for ext. of time in ex parte reexam. proceedings. § 5.12 for expedited handling of foreign filing license. Request for reinstatement of term 400 \$ § 5.15 for changing the scope of a license. reduced § 1.5.25 for retroactive license. \$ 1,120 Extension of term of patent Petition Fee under 37 CFR 1.17(h) Fee \$130 § 1.19(g) to request documents in a form other than provided in this part. OTHER FEE \$ § 1.84 for accepting color drawings or photographs. (specify) § 1.91 for entry of a model or exhibit. § 1.102(d) to make an application special. **Subtotal (Additional Fees)** \$180 § 1.138(c) to expressly abandon an application to avoid publication. § 1.313 to withdraw an application from issue. § 1.314 to defer issuance of a patent. **Total Amount of Payment:** \$180 Submitted by: **CUSTOMER NUMBER DORSEY & WHITNEY LLP** 1420 Fifth Avenue, Suite 3400 Seattle, WA 98101-4010 26.067 (206) 903-8800 phone / (206) 903-8820 fax Name: Marcus Simon Reg. No.: 50,258 Date: December 16, 2004 Marcas Simon Signature:



I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DECEMBER 16, 2004

Carolyn L./Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/652,993

Confirmation No. : 4012

Applicant: Vishnu K. Agarwal

Filed : August 31, 2000

Attorney Docket No.: 501082.04

Art Unit : 2815 Customer No.

: 27,076

Examiner: Jose R. Diaz

Title : DF

: DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/200,253, filed November 25, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

1 of 2

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App. No. 09/652,993

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP

Marcus Simon

Marcus Simon

Registration No. 50,258

MS:clr

Enclosures:

Postcard Check

E m

Fee Transmittal (+ copy)
Copy of Information Disclosure Statement

Form PTO-1449

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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FORM PTO-1449	
(REV.7-80)	

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 501082.04

APPLICATION NO. 09/652,993

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

applicant(s) Vishnu K. Agarwal

FILING DATE

GROUP ART UNIT

Ose several sheets ty hecessary)					August 31, 2000		GROUP ART UNIT 2815		
		S. C.		. PATENT	DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLAS	SS SUBCLASS	FILING IF APPRO	
	AA	5,858,831	01/12/99	Sung		438	241		
	AB	5,963,826 A	10/05/99	Takanabe	et al.	438	622		
	AC	6,010,931	01/04/00	Sun et al.	·	438	240		
	AD	6,081,010	06/27/00	Sanchez		257	345		
	AE	6,114,200	09/05/00	Yew et al.		438	253		
	AF	6,146,959	11/14/00	DeBoer et	t al.	438	393		
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EXAMINE	ER	-			DATE CONSIDERED)			-